

08-16-01

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1129 U.S. PTO  
08/15/01

Please type a plus sign (+) inside this box →

PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	678-671 (P9671)
First Inventor	BAE et al.
Title	A METHOD FOR PROVIDING AN OCCASION...
Express Mail Label No.	EL918810462US

11000 U.S. PTO  
09/929890  
08/15/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages  ]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [ Total Sheets  ]
- Oath or Declaration [ Total Pages  ]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
- Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
- Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  Correspondence address below

Name	Paul J. Farrell, Esq.				
Address	DILWORTH & BARRESE, LLP 333 Earle Ovington Blvd.				
City	Uniondale	State	New York	Zip Code	11553
Country	U.S.A	Telephone	(516) 228-8484	Fax	(516) 228-8516

Name (Print/Type)	Paul J. Farrell	Registration No. (Attorney/Agent)	33,494
Signature	<i>Paul J. Farrell</i>	Date	08/15/01

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number E918810462US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: August 15, 2001

*Michael J. Musella*  
Michael J. Musella

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	BAE et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	678-671 (P9671)

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 04-1121</p> <p>Deposit Account Name: DILWORTH &amp; BARRESE, LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130 205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50 227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130 139 130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520 147 2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920* 112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840* 113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110 215 55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390 216 195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890 217 445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390 218 695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890 228 945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310 219 155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310 220 155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270 221 135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510 138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110 240 55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240 241 620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240 242 620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440 243 220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600 244 300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130 122 130</td><td>Petitions to the Commissioner.</td><td></td></tr> <tr><td>123</td><td>50 123 50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>240 126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40 581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>\$40</td></tr> <tr><td>146</td><td>710 246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710 249 355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710 279 355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900 169 900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>*Reduced by Basic Filing Fee Paid</p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130 205 65	Surcharge - late filing fee or oath		127	50 227 25	Surcharge - late provisional filing fee or cover sheet		139	130 139 130	Non-English specification		147	2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination		112	920* 112 920*	Requesting publication of SIR prior to Examiner action		113	1,840* 113 1,840*	Requesting publication of SIR after Examiner action		115	110 215 55	Extension for reply within first month		116	390 216 195	Extension for reply within second month		117	890 217 445	Extension for reply within third month		118	1,390 218 695	Extension for reply within fourth month		128	1,890 228 945	Extension for reply within fifth month		119	310 219 155	Notice of Appeal		120	310 220 155	Filing a brief in support of an appeal		121	270 221 135	Request for oral hearing		138	1,510 138 1,510	Petition to institute a public use proceeding		140	110 240 55	Petition to revive - unavoidable		141	1,240 241 620	Petition to revive - unintentional		142	1,240 242 620	Utility issue fee (or reissue)		143	440 243 220	Design issue fee		144	600 244 300	Plant issue fee		122	130 122 130	Petitions to the Commissioner.		123	50 123 50	Processing fee under 37 CFR 1.17(q)		126	240 126 240	Submission of Information Disclosure Stmt		581	40 581 40	Recording each patent assignment per property (times number of properties)	\$40	146	710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710 279 355	Request for Continued Examination (RCE)		169	900 169 900	Request for expedited examination of a design application	
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ELECTRONIC FILING

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Paul J. Farrell, Esq.	Registration No. (Attorney/Agent)	33,494
Signature	<i>Paul J. Farrell</i>	Telephone	(516) 228-8484
		Date	08/15/01

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL918810462US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: August 15, 2001

*Michael J. Musella*  
 Michael J. Musella

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**UTILITY APPLICATION DATA SHEET UNDER 37 C.F.R. §1.76**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Jong-Cheol BAE and Keon-Young PARK

For: A METHOD FOR PROVIDING AN OCCASION DATE NOTIFICATION FUNCTION IN A PHONE

Enclosed are:

- [X] 6 page(s) of specification  
[X] 1 page(s) of Abstract  
[X] 2 page(s) of claims  
[X] 3 sheets of drawings [x] formal [ ] informal  
[X] 2 page(s) of Declaration and Power of Attorney

ASSIGNEE NAME: SAMSUNG ELECTRONICS CO., LTD.

ASSIGNEE RESIDENCE: KYUNGKI-DO, KOREA

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date August 15, 2001 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL918810462US addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Michael J. Musella  
(Type or print name of person mailing paper)

  
(Signature of person mailing paper)

09929900 06862550



The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.

Check in the amount of \$710.00 to cover the  recording,  filing fee(s) are attached.

Charge fee to Deposit Account No. 04-1121. Order No. \_\_\_\_\_  
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

Date: August 15, 2001



Paul J. Farrell  
Reg. No. 33,494

DILWORTH & BARRESE, LLP  
333 Earle Ovington Blvd.  
Uniondale, NY 11553  
Tel. No. (516) 228-8484  
Fax. (516) 228-8516

105780 06862660

PATENT

Atty. Docket No. 678-671(P9671)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): BAE et al.  
SERIAL NO.: Not yet assigned  
FILED: Herewith  
FOR: A METHOD FOR PROVIDING AN OCCASION DATE  
NOTIFICATION FUNCTION IN A PHONE



Dated: August 15, 2001

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

TRANSMITTAL OF PRIORITY DOCUMENT

Sir:

Enclosed is a certified copy of Korean Patent Appln. No.  
86193/2000 filed on December 29, 2000 and from which priority is claimed under  
35 U.S.C. §119.

Respectfully submitted,

A handwritten signature in cursive script that reads "Paul J. Farrell".

Paul J. Farrell  
Reg. No. 33,494  
Attorney for Applicant(s)

**DILWORTH & BARRESE, LLP**  
333 Earle Ovington Blvd.  
Uniondale, NY 11553  
(516) 228-8484

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Michael J. Musella  
(Type or print name of person mailing paper)

A handwritten signature in cursive script that reads "Michael J. Musella".  
(Signature of person mailing paper)